



RECEIPT

Attorney Docket No. 30013630-0020

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Dietrich Charisius, et al.

Application No. 09/839,524

Filed: April 20, 2001

For: METHODS AND SYSTEMS FOR RELATING
A DATA DEFINITION FILE AND A DATA
MODEL FOR DISTRIBUTED COMPUTING

Group Art Unit: 2122

Examiner: Not Yet Assigned

I hereby certify that this document is being deposited with
the United States Postal Service as first class mail in an
envelope addressed to: Assistant Commissioner For
Patents, Washington, D.C. 20231 on August 7, 2001.

Antonietta Musto

Assistant Commissioner For Patents
Washington, D.C. 20231**REQUEST FOR CORRECTED FILING RECEIPT**

Dear Sir:

Attached is a copy of the official filing receipt received from the PTO in the above-referenced patent application for which issuance of a corrected filing receipt is respectfully requested.

The error is as follows:

The priority claim to Provisional Application No. 60/199,046 filed on April 21, 2000, is missing. Thus, the "Domestic Priority Data as Claimed by Applicant," "THIS APPLICATION IS A CIP OF 09/680,063" should be --THIS APPLICATION CLAIMS THE BENEFIT OF 60/199,046 04/21/2000 AND IS A CIP OF 09/680,063 10/04/2000-- as evidenced by a copy of the Declaration and Power of Attorney.

The correction is not due to any error by the applicants. Thus, no fee is due.

Respectfully submitted,

Dated: August 7, 2001

By:

Thomas J. Burton

Registration No. 47,464

SONNENSCHN NATH & ROSENTHAL

P.O. Box 061080

Wacker Drive Station, Sears Tower

Chicago, Illinois 60606-1080

(312) 876-8000



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/839,524	04/20/2001	2122	0.00	30013630-0020	33	70	10

CONFIRMATION NO. 2162

FILING RECEIPT



OC000000006182417

SONNENSCHN NATH & ROSENTHAL
P.O. Box 061080
Wacker Drive Station, Sears Tower
Chicago, IL 60606-1080

Date Mailed: 06/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Dietrich Charisius, Stuttgart, GERMANY;
Alexander Aptus, Esslingen, GERMANY;

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DOCKETED

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BY

DOCKET

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/680,063 10/04/2000
AND CLAIMS BENEFIT OF 60/199,046 04/21/2000
WHICH CLAIMS BENEFIT OF 60/157,826 10/05/1999

Foreign Applications

If Required, Foreign Filing License Granted 06/13/2001

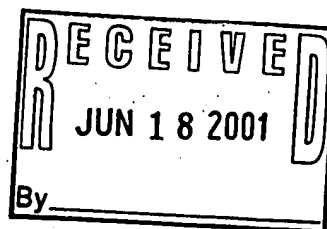
Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title



Method and systems for relating a data definition file and a data model for distributed computing

Preliminary Class

717

Data entry by : TERFA, SEBLE

Team : OIPE

Date: 06/14/2001





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

- The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

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COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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Bib Data Sheet

FILE COPY

CONFIRMATION NO. 2162

SERIAL NUMBER 09/839,524	FILING DATE 04/20/2001 RULE	CLASS 317 707	GROUP ART UNIT 2122 2176	ATTORNEY DOCKET NO. 30013630-0020
APPLICANTS Dietrich Charisius, Stuttgart, GERMANY; Alexander Aptus, Esslingen, GERMANY;				
** CONTINUING DATA ***** THIS APPLN CLAIMS BENEFIT OF 60/199,046 04/21/2000 AND A CIP OF 09/680,063 10/04/2000 WHICH CLAIMS BENEFIT OF 60/157,826 10/05/1999 AND CLAIMS BENEFIT OF 60/199,046 04/21/2000				
** FOREIGN APPLICATIONS *****				
IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY ** ** 06/13/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged	Examiner's Signature _____ Initials _____	STATE OR COUNTRY GERMANY	SHEETS DRAWING 33	TOTAL CLAIMS 70
INDEPENDENT CLAIMS 10				
ADDRESS SONNENSCHN NATH & ROSENTHAL P.O. Box 061080 Wacker Drive Station, Sears Tower Chicago ,IL 60606-1080				
TITLE Methods and systems for relating a data definition file and a data model for distributed computing				
FILING FEE RECEIVED 1150	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/839,524	04/20/2001	Dietrich Charisius	30013630-0020

CONFIRMATION NO. 2162

FORMALITIES LETTER



OC000000006182418

SONNENSCHN NATH & ROSENTHAL
P.O. Box 061080
Wacker Drive Station, Sears Tower
Chicago, IL 60606-1080

Date Mailed: 06/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$730.
 - \$450 for 50 total claims over 20.
 - \$280 for 7 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1150.**

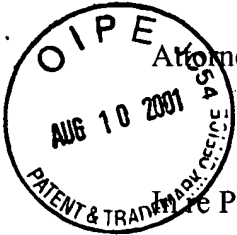
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/14/2001 MYUSUF1 00000034 09839524
01 FC:201 355.00
02 FC:203 450.00
03 FC:202 280.00
04 FC:205 65.00



Attorney Docket No. 30013630-0020

#13

AA SECTOR 18

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of:

Dietrich Charisius, et al.

Application No. 09/839,524

Filed: April 20, 2001

For: METHODS AND SYSTEMS FOR RELATING
A DATA DEFINITION FILE AND A DATA
MODEL FOR DISTRIBUTED COMPUTING

) Group Art Unit 2122

) Examiner: Not Yet Assigned

I hereby certify that this document is being deposited
with the United States Postal Service as first class mail
in an envelope addressed to: Assistant Commissioner
For Patents, Box Missing Parts, Washington, D.C.
20231 on August 7, 2001.

Antionietta Musto

Assistant Commissioner For Patents
Box Missing Parts
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Dear Sir:

In response to the Notice to File Missing Parts dated June 14, 2001, Applicants submit
an executed Declaration and Power of Attorney and a check in the amount of \$1,150.00 to cover
the filing fee and surcharge.

The Commissioner is hereby authorized to credit overpayments or to charge any
deficiency in connection with this filing to Deposit Account No. 19-3140. A duplicate copy of
this sheet is enclosed.

Respectfully submitted,

Dated: August 7, 2001

By:

Thomas J. Burton

Thomas J. Burton
Registration No. 47,464
SONNENSCHN NATH & ROSENTHAL
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